Sierra College
ADMINISTRATIVE PROCEDURE AP 3420

Equal Employment Opportunity

Date Adopted: 09/7/2004
Date Revised: 4/5/2019
Date Reviewed: 4/5/2019
References: 20 U.S. Code Sections 1681 et seq.;
Education Code Sections 87100 et seq.;
Title 5, Sections 53000, et seq., Section 59300 et seq.;
ACCJC Accreditation Standard III.A.12

The Equal Employment Opportunity (EEO) Plan should be a district-wide, written plan that implements the District’s EEO program, includes the definitions contained in Title 5, Section 53001, and addresses the following:

- Submission of plans and revisions to the California Community Colleges Chancellor’s Office for review and approval as required.
- The designation of the District employee or employees who have been delegated responsibility and authority for implementing the plan and assuring compliance with the requirements of this procedure.
- The procedure for filing complaints and the person with whom such complaints are to be filed.
- A process for notifying all District employees of the provisions of the plan and the policy statement required.
- A process for ensuring that District employees who are to participate on screening or selection committees shall receive, prior to their participation, training on the requirements of the applicable Title 5 regulations and of state and federal nondiscrimination laws, the educational benefits of workforce diversity, the elimination of bias in hiring decisions, and best and equitable practices in serving on a screening or selection committee.
- A process for providing annual written notice to appropriate community-based and professional organizations concerning the District's plan and the need for assistance from such organizations in identifying qualified applicants for openings within the District. A process for gathering information and periodic, longitudinal analysis of the District’s employees and applicants, broken down by number of persons from monitored groups, as defined by Title 5, Section 53001(i), who are employed in the District's work force and those who have applied for employment in each of the job categories listed below.
• To the extent data regarding potential job applicants is provided by the California Community Colleges Chancellor’s Offices, an analysis of the degree to which monitored groups are underrepresented in comparison to their representation in the field or job category in numbers of persons from such groups whom the California Community Colleges Chancellor’s Office determines to be available and qualified to perform the work required for each such job category and whether or not the underrepresentation is significant.
• The steps the District will take to promote diversity in its work force.
• Methods for addressing any discrimination that is detected in the District’s hiring practices.
• The plan shall be a public record.

The District shall make a continuous good faith effort to comply with the requirements of the plan.

Annual Evaluation
The District shall annually collect the demographic data of its employees and applicants for employment in order to evaluate progress in implementing the EEO Plan and to provide data needed for required analyses.

An annual report to the California Community Colleges Chancellor’s Office of the California Community Colleges will also be completed on the results of its annual survey of employees. The report shall identify each employee as belonging to one of the following seven job categories:
• executive/administrative/managerial
• faculty and other instructional staff
• professional non-faculty
• secretarial/clerical
• technical and paraprofessional
• skilled crafts
• service and maintenance

The opportunity is afforded for each employee to identify their gender, race, ethnicity and, if applicable, disability. This opportunity must allow for a person to designate multiple ethnic groups with which they identify. However, the person may only be counted in one group for reporting purposes.

The District shall review the annually collected demographic data to determine if significant underrepresentation of a monitored group may be the result of non-job-related factors in the employment process. For the purposes of this subdivision, the phases of the employment process include but are not limited to recruitment, hiring, retention, and promotion. The information to be reviewed shall include, but need not be limited to:

Longitudinal analysis of data regarding job applicants to identify whether over multiple job searches, a monitored group is disproportionately failing to move from the initial applicant pool, to the qualified applicant pool; and analysis of data regarding potential job
applicants, to the extent provided by the California Community Colleges Chancellor’s Office, which may indicate significant underrepresentation of a monitored group.

**EEO Advisory Committee**
- The District shall establish an EEO Advisory Committee.
- The advisory committee shall include a diverse membership whenever possible.
- The advisory committee shall receive training in all of the following: applicable Title 5 regulations and of state and federal nondiscrimination laws; the educational benefits of workforce diversity, the identification and elimination of bias in hiring decisions; and the role of the advisory committee in carrying out the District’s EEO Plan.

The responsibilities of the EEO Advisory Committee shall include but not be limited to the following:
- Review and advise on recruitment efforts, job announcements, interview protocols, retention efforts and other aspects of the hiring, retention, and promotion processes that impact the District’s ability to attract and retain a diverse faculty and staff.
- Advise on implementing the District’s obligation to hire faculty and administrators with a demonstrated sensitivity to, and understanding of, the diverse academic, socioeconomic, cultural, disability and ethnic backgrounds of community college students.
- Promote communication with community groups and organizations for people with disabilities.
- Promote hiring of faculty who have, themselves, graduated from a community college.
- Develop communications among departments to foster understandings of the Plan.
- Advise the Superintendent/President regarding special training or staff development needs.
- Review the Plan and monitor its progress.
- Recommend changes needed in the Plan.
- Review and approve the annual written report to the Superintendent/President, the Board of Trustees, and the California Community Colleges Chancellor’s Office for the California Community Colleges.

**Employment Procedures**
**Job Analysis and Validation**
- The EEO Officer shall assure that a proper job analysis is performed for every job filled by the District to determine and validate the knowledge, skills, abilities and characteristics an employee must possess to perform the job satisfactorily.
- A statement of bona fide essential functions and minimum qualifications shall be developed for all positions.
Job Description
- Every job description shall provide a general statement of job duties and responsibilities.
- Job specifications shall include functions and tasks; knowledge; skills; ability; and job related personal characteristics, including but not limited to sensitivity to and understanding of the diverse academic, socioeconomic, cultural, disability, and ethnic backgrounds of community college students.

Recruitment
- Recruitment must be conducted actively within and outside of the District workforce.
- Open recruitment is mandated for all new full-time and part-time positions, except under limited circumstances involving interim hires.
- Recruitment must utilize outreach strategies designed to ensure that all qualified individuals, are provided the opportunity to seek employment with the District.
- Recruitment for administrative and faculty positions (full and part-time) may include advertisement in appropriate professional journals, job registries and newspapers of general circulation; distribution of job announcements to the EEO Registry, K-12 districts, two- and four-year colleges, and graduate schools where appropriate candidates might be enrolled; recruitment at conferences, fairs, and professional meetings; notices to institutions, professional organizations, and community-based organizations.
- Recruitment for classified positions shall include notice to all District personnel; and notice to the Employment Development Department.

Applicant Pools
- The application for employment shall afford each applicant an opportunity to identify themselves voluntarily as to gender, ethnicity and, if applicable, disability. This information shall be maintained in confidence and shall be used only for research, validation, monitoring, evaluation of the effectiveness of the Plan, or as authorized by law.
- After the application deadline has passed, the initial applicant pool shall be recorded and reviewed by the Chief Human Resources Officer or designee. All initial applications shall be screened to determine which candidates satisfy job specifications set forth in the job announcement. The group of candidates who meet the job specifications shall constitute the “qualified applicant pool.”
- Once the qualified pool is formed, the pool must again be analyzed. If the Chief Human Resources Officer or designee finds that the composition of the qualified applicant pool may have been influenced by factors which are not job related, the District may immediately, and before the selection process continues, consult with legal counsel to determine what, if any, corrective action is required by law.

Screening and Selection
Screening, selecting and interviewing candidates for all positions shall include thorough and fair procedures that are equitable. Procedures to be used must address or include that:
• Hiring procedures will be provided to the California Community Colleges Chancellor’s Office on request.
• All tests conform to generally applicable legal standards for uniformity.
• A reasonable number of candidates are identified for interview.
• Screening and selection committees are developed that are representative of the District community and campus; include administrators, faculty and classified staff; include a diverse membership when possible; do not include applicants or persons who have written letters of recommendation.
• Every screening and selection committee includes an individual trained to monitor conformance with EEO requirements. The EEO Officer assures that the screening and selection process conforms to accepted principles and practices, including preparation of job related questions in advance; maintains records of screening checklists and rating scales, which shall be signed and kept on file; maintains notes for all interviews and records relevant factual reasons stating why a candidate was not hired or was not invited to interview; and monitors the hiring process for adverse impact.
• Selection shall be based solely on the stated job criteria.
• For faculty and administrative positions, candidates shall be required to demonstrate sensitivity to and understanding of diversity in ways relevant to the specific position.

If the District determines that a particular monitored group is significantly underrepresented with respect to one or more job categories, the District shall take the following additional steps:
• Review its recruitment procedures.
• Consult with counsel to determine whether there are other, additional measures that may be undertaken that are required and/or permitted by law.
• Consider various other means of reducing the underrepresentation which do not involve taking monitored group status into account and implement any such techniques that are feasible.

If significant underrepresentation persists:
• Review each locally-established job qualification to determine if it is job related and consistent with business necessity.
• Discontinue the use of any non-job related local qualification.
• Continue using job-related local qualifications only if no alternative standard is reasonably available.
• Consider the implementation of additional measures designed to promote diversity.

**Delegation of Authority**
The EEO Officer is designated as the District employee with the responsibility and authority for the day-to-day implementation of the EEO Plan and programs.
If the EEO Officer is named in a complaint or implicated by the allegations contained in the complaint, the President/Superintendent will appoint a neutral, disinterested individual to investigate the complaint.

**Complaint Procedure**

The District must identify to the public and to the California Community Colleges Chancellor’s Office an individual described in Title 5 as the “responsible District officer,” who is responsible for receiving complaints. Informal charges of unlawful discrimination should be brought to the attention of the District's EEO Officer. The EEO Officer shall oversee the informal resolution process.

The actual investigation of complaints may be assigned to other staff or to outside persons or organizations under contract.

An outside investigator must be used when the responsible EEO Officer is named in the complaint or implicated by the allegations in the complaint.

When a person brings charges of unlawful discrimination the officer must:

- Undertake efforts to resolve the charge informally.
- Advise the complainant that they need not participate in an informal resolution of the complaint.
- Notify the complainant of the procedures for filing a formal complaint.
- Notify the complainant that they may file a complaint with the Office of Civil Rights of the U.S. Department of Education.
- If the complainant, a student or an employee, files a formal complaint, the responsible District officer must also forward a copy of the complaint to the California Community Colleges Chancellor’s Office.

A formal complaint not involving employment, must be processed if it is filed within one year of the date of the alleged unlawful discrimination or within one year of the date on which the complainant knew or should have known of the facts underlying the allegation.

A formal complaint alleging discrimination in employment must be filed within 180 days of the date of the alleged unlawful discrimination, unless the complainant first obtained knowledge of the facts of the alleged violation after the expiration of the initial 180 days.

The complaint must be filed by someone who alleges that they have personally suffered unlawful discrimination, or by someone who has learned about unlawful discrimination in their official capacity.

When a proper complaint is received, the District will begin an impartial fact-finding investigation, and notify the complainant and the California Community Colleges Chancellor’s Office that it is doing so.
When the investigation is done, the results must be set forth in a written report. The written report must include a description of the circumstances giving rise to the complaint, a summary of the testimony of each witness, an analysis of any relevant data or other evidence collected during the investigation, a specific finding as to whether discrimination did or did not occur with respect to each allegation in the complaint, and any other appropriate information.

In any case that does not involve employment discrimination, the District must provide the California Community Colleges Chancellor’s Office with a copy of the investigative report within ninety days from the date the District received the complaint. The District must also provide the complainant with a copy or summary of the investigative report within ninety days from the date the District received the complaint. The California Community Colleges Chancellor’s Office and the complainant must also be provided with a written notice setting forth the determination of the EEO Officer as to whether discrimination did or did not occur with respect to each allegation in the complaint; a description of action taken, if any, to prevent similar problems from occurring in the future; the proposed resolution of the complaint; and notice of the complainant's right to appeal to the District's governing board and the California Community Colleges Chancellor’s Office.

In any case that involves employment discrimination, the District must provide the complainant with a copy or summary of the report, and with written notice setting forth the determination of the EEO Officer as to whether discrimination did or did not occur with respect to each allegation in the complaint; a description of action taken, if any, to prevent similar problems from occurring in the future; the proposed resolution of the complaint; and the complainant’s right to appeal to the District’s governing board and to file a complaint with the Department of Fair Employment and Housing (DFEH).

If the complainant is not satisfied with the results of the administrative determination, the complainant must be given the opportunity to submit a written appeal to the governing board within fifteen days from the date of the notice of the administrative determination. The Board must review the original complaint, the investigative report, the administrative determination, and the appeal and must issue a final District decision within forty-five days of receiving the appeal.

In any case not involving employment discrimination, a copy of the final District decision must be promptly forwarded to the complainant and the California Community Colleges Chancellor’s Office. The complainant must be notified of their right to appeal. In any case involving employment discrimination, a copy of the final District decision must be promptly forwarded to the complainant. The complainant must be notified of their right to file a complaint with the Department of Fair Employment and Housing (DFEH).

Where the Board does not act within forty-five days the administrative determination must be deemed approved and must become the final District decision. The District shall promptly notify the complainant and in cases not involving employment discrimination, the California Community Colleges Chancellor’s Office, that the Board took no action and the administrative determination becomes the final District decision. In cases not
involving employment discrimination, the complainant must be informed of their right to appeal the District’s decision to the California Community Colleges Chancellor’s Office. In cases involving employment discrimination, the complainant shall be notified of their right to file a complaint with the Department of Fair Employment and Housing.

In cases not involving employment discrimination, the complainant must be given the right to file a written appeal with the California Community Colleges Chancellor’s Office within 30 days after the Board issues the final District decision, or permits the administrative decision to become final or from the date that notice of the District’s final decision was provided to the complainant pursuant to Section 59338(b) or (d), whichever is later.

The District should retain and make available the original complaint, and copies of the final decision or a statement indicating the date on which the administrative determination became final, the notice given to complainant, the complainant’s appeal of the District’s administrative determination, the investigative report and any other information the California Community Colleges Chancellor’s Office may require.

**Job Announcements**

All job announcements shall contain a statement in substantially the following form: The District is an equal opportunity employer. The policy of the District is to encourage applications from ethnic and racial minorities, women, persons with disabilities, and veterans. No person shall be denied employment because of ethnicity or race, color, sex or gender, gender identity, gender expression, age, religion, marital status, disability, sexual orientation, national origin, medical conditions, status as a veteran, ancestry, or political or organizational affiliation.

**Dissemination and Revision of the EEO Plan**

All managers and supervisors shall be given copies of the EEO Plan as revised from time to time and any guidelines for implementing the plan. Copies of the Plan shall be provided to the Academic Senate and the exclusive representatives of any units of employees.

Statements of nondiscrimination shall be posted at locations where applications for employment are distributed.

Such plans shall be reviewed at least every three years and, if necessary, revised and submitted to the California Community Colleges Chancellor’s Office for approval within 90 days of the effective date of the revision or amendment(s). If the California Community Colleges Chancellor’s Office determines that a district’s policies are not in compliance with Title 5 Section 59300 et seq., the California Community Colleges Chancellor’s Office may require the District to modify its policies.

See Board Policy 3420.